

# USP 797 Sterile Compounding Practice Test (Sample)

## Study Guide



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**SAMPLE**

## **Questions**

- 1. What is the purpose of sterilization in sterile compounding?**
  - A. To improve taste**
  - B. To eliminate viable microorganisms**
  - C. To create a more attractive product**
  - D. To increase shelf life**
- 2. What is one major risk when proper hand hygiene is not observed in sterile compounding?**
  - A. Increased patient satisfaction**
  - B. Lower medication costs**
  - C. Higher risk of contamination**
  - D. Less time required for compounding**
- 3. How should the sterility of compounded preparations be evaluated?**
  - A. Only rely on appearance to assess sterility**
  - B. Conduct sterility testing and visual inspections for contamination**
  - C. Sterility is assumed if the product is handled properly**
  - D. No evaluation is required if the product is used within a specific timeframe**
- 4. What is a key responsibility of a sterile compounding supervisor?**
  - A. To handle financial records**
  - B. To oversee compounding activities**
  - C. To manage human resources**
  - D. To coordinate marketing strategies**
- 5. What are the risks associated with using expired ingredients in compounding?**
  - A. They may improve the compound's effectiveness**
  - B. They can lead to reduced efficacy and safety risks due to potential degradation or contamination**
  - C. They may have no effect on the final product**
  - D. They are strictly monitored by regulatory bodies**

- 6. What is crucial for maintaining a cleanroom's pressure differentials?**
- A. Regular equipment upgrades**
  - B. Constant monitoring**
  - C. Frequent staff rotations**
  - D. Increased traffic control**
- 7. What is the first step in preparing a compounded sterile preparation?**
- A. Cleaning the work area thoroughly**
  - B. Verification and gathering of necessary supplies and materials**
  - C. Calculating dosages for individual prescriptions**
  - D. Consulting the pharmacist for guidance**
- 8. What is the main purpose of USP 797?**
- A. Establish standards for sterile compounding**
  - B. Provide guidelines for non-sterile compounds**
  - C. Ensure medication pricing is standardized**
  - D. Regulate over-the-counter drugs**
- 9. When ACDs are used regularly, how often should they be calibrated?**
- A. Weekly**
  - B. Daily**
  - C. Bi-weekly**
  - D. Monthly**
- 10. What are the potential results of improper garbing techniques?**
- A. Decreased preparation times**
  - B. Increased risk of contamination leading to patient infections**
  - C. Improved efficiency in compounding**
  - D. Enhanced drug stability**

## **Answers**

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1. B
2. C
3. B
4. B
5. B
6. B
7. B
8. A
9. B
10. B

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## **Explanations**

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**1. What is the purpose of sterilization in sterile compounding?**

- A. To improve taste
- B. To eliminate viable microorganisms**
- C. To create a more attractive product
- D. To increase shelf life

The purpose of sterilization in sterile compounding is to eliminate viable microorganisms. This is a critical step in the preparation of sterile medications to ensure patient safety and integrity of the product. In a sterile environment, even the smallest presence of microorganisms can lead to contamination, posing significant risks to patients, including infections or complications. Sterilization processes, which may include autoclaving, filtration, or using chemical agents, are designed specifically to achieve the total destruction of bacteria, viruses, fungi, and spores. The importance of this process cannot be overstated, as the essence of sterile compounding is to create a medication that is not only free from any contaminants but also safe for use in potentially vulnerable populations such as immunocompromised patients. Other options, while they may relate to aspects of drug formulation or presentation, do not align with the primary objective of sterilization. For example, improving taste or creating a more attractive product pertains to formulation techniques rather than sterility. Increasing shelf life can be influenced by various factors, including preservatives and storage conditions, but it is not the goal of sterilization specifically. The core function of sterilization remains focused on ensuring that the compounded products are devoid of microbes.

**2. What is one major risk when proper hand hygiene is not observed in sterile compounding?**

- A. Increased patient satisfaction
- B. Lower medication costs
- C. Higher risk of contamination**
- D. Less time required for compounding

In sterile compounding, observing proper hand hygiene is crucial to maintaining a sterile environment and ensuring product safety. When hand hygiene protocols are not followed, it significantly increases the risk of contamination. Contaminants, including bacteria, fungi, and viruses, can be introduced to sterile products, posing serious health risks to patients. These microorganisms may lead to infections or complications, especially in vulnerable patient populations, such as those with compromised immune systems. Effective hand hygiene reduces the likelihood of such contaminants. The process includes thorough hand washing and the use of appropriate hand sanitizers before interacting with sterile materials, which helps ensure that the integrity of the compounded sterile preparations is maintained. Failing to emphasize hand hygiene can lead to dire consequences in patient care, making it imperative to adhere strictly to these practices to safeguard patient health.

**3. How should the sterility of compounded preparations be evaluated?**

- A. Only rely on appearance to assess sterility**
- B. Conduct sterility testing and visual inspections for contamination**
- C. Sterility is assumed if the product is handled properly**
- D. No evaluation is required if the product is used within a specific timeframe**

The evaluation of the sterility of compounded preparations is a crucial aspect of ensuring patient safety and the effectiveness of the medication. Conducting sterility testing and visual inspections for contamination is the appropriate method for assessing sterility. By performing sterility testing, which involves taking samples of the compounded preparation and culturing them in a controlled environment to check for microbial growth, practitioners can reliably determine whether the preparation is free from viable microorganisms. This scientific method provides evidence that the compounded product is sterile. In addition to sterility testing, visual inspections are essential. They help identify any visible signs of contamination such as cloudiness, particles, or discoloration in the preparation. Together, these methods provide a comprehensive approach to evaluating sterility. Relying solely on appearance to assess sterility, assuming sterility based on proper handling, or not evaluating if the product is used quickly are all inadequate and potentially dangerous practices that do not ensure the safety and efficacy of compounded preparations. Proper protocols must be followed to maintain the integrity of sterile products.

**4. What is a key responsibility of a sterile compounding supervisor?**

- A. To handle financial records**
- B. To oversee compounding activities**
- C. To manage human resources**
- D. To coordinate marketing strategies**

A key responsibility of a sterile compounding supervisor is to oversee compounding activities. This role is vital in ensuring that sterile products are prepared in compliance with established regulations and guidelines, such as those outlined in USP 797. The supervisor is responsible for monitoring the compounding processes to maintain sterility and quality standards, ensuring that the environment is appropriately controlled, and that staff are following best practices. This oversight includes reviewing compounding procedures, verifying that staff are adequately trained, ensuring the proper maintenance of equipment, and that the appropriate safety measures are observed. The supervisor plays a crucial role in mitigating risks associated with sterile compounding, safeguarding patient safety, and upholding the integrity of the sterile products being prepared.

**5. What are the risks associated with using expired ingredients in compounding?**

- A. They may improve the compound's effectiveness
- B. They can lead to reduced efficacy and safety risks due to potential degradation or contamination**
- C. They may have no effect on the final product
- D. They are strictly monitored by regulatory bodies

Using expired ingredients in compounding carries significant risks primarily tied to the safety and efficacy of the final product. As time passes, the chemical composition of ingredients can change due to degradation, resulting in a loss of potency or effectiveness. This can render the compounded preparation less effective, which is particularly concerning in situations where precise therapeutic effects are crucial, such as in medications for critically ill patients. Additionally, expired ingredients are more susceptible to contamination. Microbial growth, chemical alterations, and changes in physical properties can pose serious safety risks. If a compounded preparation is made with an expired ingredient, it can lead to adverse reactions in patients or even therapeutic failures. The concept that expired ingredients might improve a compound's effectiveness or have no effect is misleading, as the risks associated with their use are well documented and recognized in the field of pharmacy. Furthermore, while regulatory bodies do monitor the use of pharmaceutical ingredients, using expired items can violate guidelines established to ensure patient safety and product integrity. Therefore, the emphasis on avoiding expired ingredients is crucial for maintaining the highest standards in sterile compounding.

**6. What is crucial for maintaining a cleanroom's pressure differentials?**

- A. Regular equipment upgrades
- B. Constant monitoring**
- C. Frequent staff rotations
- D. Increased traffic control

Constant monitoring is crucial for maintaining a cleanroom's pressure differentials because it ensures that the appropriate levels of pressure are consistently maintained to prevent contamination. Pressure differentials are important in cleanrooms because they help to control airflow, minimizing the risk of pollutants and contaminants from entering sterile areas. By continuously monitoring the pressure, any deviation from the established parameters can be detected and corrected promptly. This helps maintain the integrity of the cleanroom environment, ensuring it functions as intended for sterile compounding. Regular equipment upgrades may enhance the overall efficiency and effectiveness of cleanroom operations but do not directly influence the immediate maintenance of pressure differentials. Frequent staff rotations and increased traffic control can contribute to reducing the risk of contamination, but they are secondary measures that do not directly monitor or manage the pressure conditions actively. Maintaining constant monitoring systems, such as pressure gauges and alarms, directly supports the goal of adhering to stringent cleanroom standards required for sterile environments.

**7. What is the first step in preparing a compounded sterile preparation?**

- A. Cleaning the work area thoroughly**
- B. Verification and gathering of necessary supplies and materials**
- C. Calculating dosages for individual prescriptions**
- D. Consulting the pharmacist for guidance**

The first step in preparing a compounded sterile preparation involves verification and gathering of necessary supplies and materials. This initial action is crucial because it ensures that all required components, such as ingredients and equipment, are available and appropriately labeled. By confirming the necessary supplies, the compounding professional can mitigate the risks associated with contamination or errors due to missing items, which is particularly important in a sterile compounding environment. When all materials are verified and gathered beforehand, it provides a structured approach to the compounding process. This step also allows the compounder to check for the expiration dates of supplies and the appropriateness of the equipment to be used, thereby enhancing safety and efficacy in the preparation. Proper organization and preparation greatly contribute to maintaining standards that align with USP 797 guidelines, which focus on preventing contamination and ensuring quality in compounded sterile preparations.

**8. What is the main purpose of USP 797?**

- A. Establish standards for sterile compounding**
- B. Provide guidelines for non-sterile compounds**
- C. Ensure medication pricing is standardized**
- D. Regulate over-the-counter drugs**

The main purpose of USP 797 is to establish standards for sterile compounding. This set of guidelines is crucial for ensuring the safety and effectiveness of compounded sterile preparations (CSPs). By creating a structured framework, USP 797 aims to minimize the risks of microbial contamination, excessive chemical or physical contamination, and incorrect strength or dosage of medications. The guidelines encompass various aspects of sterile compounding, including the environments where compounding occurs, the training and qualifications of personnel, and the procedures for preparing and handling sterile products. Adhering to these standards is essential for healthcare facilities and pharmacists to protect patient safety and ensure high-quality pharmaceutical care. While the other options address different areas of pharmacy practice, they do not pertain directly to the fundamental intent of USP 797. For instance, guidelines for non-sterile compounds fall under different standards, medication pricing is related to economic aspects rather than compounding practices, and over-the-counter drug regulation is covered by separate regulations that focus on non-prescription medication safety and labeling.

**9. When ACDs are used regularly, how often should they be calibrated?**

- A. Weekly**
- B. Daily**
- C. Bi-weekly**
- D. Monthly**

Calibrating Automatic Compounding Devices (ACDs) daily is essential to ensure their accuracy and reliability in sterile compounding practices. Frequent calibration is necessary because any deviations in measurements can lead to incorrect dosages, potentially posing risks to patient safety. Regular calibration helps to identify any variations or inaccuracies in the device's performance, which can occur due to factors such as wear and tear, environmental conditions, or the inherent variability of the components. By performing daily calibration, pharmacists reinforce the integrity of the compounding process, maintaining compliance with USP 797 standards. This practice not only supports the production of safe and effective compounded sterile preparations but also fosters a culture of diligence and quality assurance within the compounding environment.

**10. What are the potential results of improper garbing techniques?**

- A. Decreased preparation times**
- B. Increased risk of contamination leading to patient infections**
- C. Improved efficiency in compounding**
- D. Enhanced drug stability**

Improper garbing techniques can significantly compromise the sterility of compounded sterile preparations. When personnel do not adhere to appropriate garbing protocols, they increase the likelihood of introducing contaminants, such as bacteria, fungi, or other microorganisms, into the sterile environment where preparations are made. This contamination poses a direct risk to patient safety, as it can lead to infections if these contaminated preparations are administered to patients. Garbing practices, which include wearing gowns, gloves, masks, and hair covers, are designed to create a barrier between potential contaminants from the compounding personnel and the sterile products. When these practices are not followed correctly, the integrity of the compounding environment is jeopardized, leading to potential adverse patient outcomes. The other options suggest outcomes that are not aligned with the principles of sterile compounding. For example, decreased preparation times or improved efficiency in compounding are not valid results of improper garbing, as such negligence usually results in increased preparation time due to additional steps needed to address contamination issues. Enhanced drug stability is also undermined in an environment at risk for contamination, as impurities may compromise the quality and efficacy of the drug being prepared. Therefore, the focus on the increased risk of contamination leading to patient infections accurately reflects the critical importance of proper gar