

# Surveyor Minimum Qualification Test (SMQT) Practice Exam (Sample)

## Study Guide



**Everything you need from our exam experts!**

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# Introduction

Preparing for a certification exam can feel overwhelming, but with the right tools, it becomes an opportunity to build confidence, sharpen your skills, and move one step closer to your goals. At Examzify, we believe that effective exam preparation isn't just about memorization, it's about understanding the material, identifying knowledge gaps, and building the test-taking strategies that lead to success.

This guide was designed to help you do exactly that.

Whether you're preparing for a licensing exam, professional certification, or entry-level qualification, this book offers structured practice to reinforce key concepts. You'll find a wide range of multiple-choice questions, each followed by clear explanations to help you understand not just the right answer, but why it's correct.

The content in this guide is based on real-world exam objectives and aligned with the types of questions and topics commonly found on official tests. It's ideal for learners who want to:

- Practice answering questions under realistic conditions,
- Improve accuracy and speed,
- Review explanations to strengthen weak areas, and
- Approach the exam with greater confidence.

We recommend using this book not as a stand-alone study tool, but alongside other resources like flashcards, textbooks, or hands-on training. For best results, we recommend working through each question, reflecting on the explanation provided, and revisiting the topics that challenge you most.

**Remember:** successful test preparation isn't about getting every question right the first time, it's about learning from your mistakes and improving over time. Stay focused, trust the process, and know that every page you turn brings you closer to success.

Let's begin.

# How to Use This Guide

**This guide is designed to help you study more effectively and approach your exam with confidence. Whether you're reviewing for the first time or doing a final refresh, here's how to get the most out of your Examzify study guide:**

## **1. Start with a Diagnostic Review**

**Skim through the questions to get a sense of what you know and what you need to focus on. Your goal is to identify knowledge gaps early.**

## **2. Study in Short, Focused Sessions**

**Break your study time into manageable blocks (e.g. 30 - 45 minutes). Review a handful of questions, reflect on the explanations.**

## **3. Learn from the Explanations**

**After answering a question, always read the explanation, even if you got it right. It reinforces key points, corrects misunderstandings, and teaches subtle distinctions between similar answers.**

## **4. Track Your Progress**

**Use bookmarks or notes (if reading digitally) to mark difficult questions. Revisit these regularly and track improvements over time.**

## **5. Simulate the Real Exam**

**Once you're comfortable, try taking a full set of questions without pausing. Set a timer and simulate test-day conditions to build confidence and time management skills.**

## **6. Repeat and Review**

**Don't just study once, repetition builds retention. Re-attempt questions after a few days and revisit explanations to reinforce learning. Pair this guide with other Examzify tools like flashcards, and digital practice tests to strengthen your preparation across formats.**

**There's no single right way to study, but consistent, thoughtful effort always wins. Use this guide flexibly, adapt the tips above to fit your pace and learning style. You've got this!**

## Questions

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- 1. What is one potential consequence of noncompliance at severity level 2?**
  - A. Direct loss of funding for the facility**
  - B. Possible compromise of residents' highest practicable level of well-being**
  - C. Immediate suspension of staff members involved**
  - D. Increased scrutiny from external stakeholders**
  
- 2. What is the basis for a provider to dispute an IDDR?**
  - A. Provider may dispute with another entity apart from the state agency**
  - B. Provider can initiate a dispute with the state agency only**
  - C. Providers are not allowed to dispute CMPs**
  - D. Disputes can only occur during formal appeals**
  
- 3. How is 'practicable' defined in this context?**
  - A. Able to be achieved, regardless of the situation**
  - B. Able to be obtained during normal circumstances**
  - C. Capable regardless of circumstances or resources available**
  - D. Dependent on external resources for success**
  
- 4. What is the meaning of iatrogenesis?**
  - A. A problem caused by a treatment**
  - B. An expected outcome of care**
  - C. A natural complication of a disease**
  - D. A diagnosis given by a medical professional**
  
- 5. When should you contact the RAI coordinator?**
  - A. If the survey results are delayed**
  - B. If the number of residents is unreasonable**
  - C. Before exporting the survey shell**
  - D. After the survey is complete**

- 6. In a formal appeal, who can be subjected to cross-examination?**
- A. Only the provider**
  - B. Only CMS representatives**
  - C. Both CMS and the provider**
  - D. Neither party**
- 7. What characterizes Medication-induced Parkinsonism?**
- A. Highly elevated mood and energy**
  - B. Symptoms resembling Parkinson's disease**
  - C. Episodes of severe anxiety and panic**
  - D. Fluid retention and swelling**
- 8. What must a facility ensure regarding the quality of care provided to residents?**
- A. Residents receive care in accordance with state-specific regulations**
  - B. Residents always receive care from a physician**
  - C. Residents receive care according to professional standards and resident choice**
  - D. Facilities can modify care practices based on staff preferences**
- 9. If abuse is being investigated, what should you ask for based on a history of abuse?**
- A. Ask the facility for incident reports**
  - B. Request a copy of resident care plans**
  - C. Ask facility for all allegations of abuse since the last survey**
  - D. Inquire about staff training on abuse prevention**
- 10. What does an Extended Survey further evaluate?**
- A. Financial audits only**
  - B. Patient satisfaction only**
  - C. Multiple service areas including physician services and infection control**
  - D. Staff training and budget allocations**

## Answers

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1. B
2. A
3. C
4. A
5. B
6. C
7. B
8. C
9. C
10. C

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## **Explanations**

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**1. What is one potential consequence of noncompliance at severity level 2?**

- A. Direct loss of funding for the facility**
- B. Possible compromise of residents' highest practicable level of well-being**
- C. Immediate suspension of staff members involved**
- D. Increased scrutiny from external stakeholders**

The potential consequence of noncompliance at severity level 2 being the compromise of residents' highest practicable level of well-being is grounded in the understanding that this severity level indicates a moderate level of risk to the health or safety of individuals. Noncompliance at this level suggests that the facility may not be fully meeting standards that are crucial for ensuring the comprehensive well-being of residents, which may include their physical, mental, and emotional health. The focus on residents' well-being aligns with the overarching goal of care facilities to not only provide basic services but also to enhance quality of life. If these standards are not met, it can lead to outcomes that detract from a resident's overall quality of life, such as decreased social interaction, inadequate health monitoring, or insufficient emotional support. This emphasizes the importance of compliance in maintaining the safety and welfare of those served. Other potential consequences, while significant, relate more to institutional repercussions or changes in oversight rather than direct impacts on the well-being of residents themselves.

**2. What is the basis for a provider to dispute an IDDR?**

- A. Provider may dispute with another entity apart from the state agency**
- B. Provider can initiate a dispute with the state agency only**
- C. Providers are not allowed to dispute CMPs**
- D. Disputes can only occur during formal appeals**

The basis for a provider to dispute an IDDR (Individual Dispute Decision Report) is that they may dispute it with another entity apart from the state agency. This highlights the flexibility given to providers in addressing and challenging decisions that may affect them. The ability to escalate disputes to external organizations means that providers have a wider array of options to seek resolution, rather than being confined to the state agency alone. This approach helps ensure that providers have adequate avenues to present their case and seek a fair review of the decisions made regarding their situation. While there are circumstances under which a provider might also engage with the state agency or participate in formal appeals, the key aspect here is the recognition that disputes can be raised with entities outside of the state's direct oversight, thus enhancing the checks and balances in the process. This autonomy is crucial for ensuring providers feel they have a fair chance to contest decisions they believe are incorrect.

### 3. How is 'practicable' defined in this context?

- A. Able to be achieved, regardless of the situation
- B. Able to be obtained during normal circumstances
- C. Capable regardless of circumstances or resources available**
- D. Dependent on external resources for success

The term 'practicable' in this context emphasizes the idea that something is capable of being implemented or carried out, but it takes into account specific circumstances and the resources available. This definition acknowledges the potential limitations that might impact the feasibility of achieving the task. While 'capable regardless of circumstances or resources available' suggests a strong level of capability, it inherently recognizes that some tasks may only be feasible or practical when certain conditions or resources are present. In contrast, the other choices imply different levels of feasibility that overlook this nuanced understanding. For instance, saying something is 'able to be achieved, regardless of the situation' would suggest that there are no restrictions or considerations for practicality, which is unrealistic. Meanwhile, defining it as 'able to be obtained during normal circumstances' might not fully encapsulate situations where unique circumstances can affect achievability. Lastly, 'dependent on external resources for success' doesn't fully capture the breadth of what practicability entails, as it is not solely reliant on external factors but also on the specific conditions and context in which tasks are attempted. Therefore, the chosen answer accurately captures the essence of what 'practicable' means in practical application, while also recognizing that capability is often intertwined with specific circumstances and available resources.

### 4. What is the meaning of iatrogenesis?

- A. A problem caused by a treatment**
- B. An expected outcome of care
- C. A natural complication of a disease
- D. A diagnosis given by a medical professional

Iatrogenesis refers to health complications or problems that arise as a direct result of medical treatment or intervention. This concept highlights the unintended consequences that can occur when a healthcare provider administers care, which can sometimes lead to adverse effects, side effects, or new health issues directly caused by the treatment itself. For example, if a patient develops an infection following surgery, that infection is considered an iatrogenic effect, as it was not a natural progression of the disease being treated but rather a consequence of the medical intervention. This definition emphasizes the intrinsic risks involved in medical treatments, illustrating the importance of careful decision-making in healthcare. Understanding iatrogenesis is crucial for both healthcare providers and patients, as it helps in managing expectations and improving the safety and efficacy of medical care.

**5. When should you contact the RAI coordinator?**

- A. If the survey results are delayed
- B. If the number of residents is unreasonable**
- C. Before exporting the survey shell
- D. After the survey is complete

The correct answer highlights a situation where a surveyor may need to reach out to the RAI coordinator. If the number of residents is unreasonable, it typically indicates a potential issue with the data regarding the survey's target population. This could involve discrepancies in the expected number of respondents or perhaps concerns about how the survey is being administered. In such cases, contacting the RAI coordinator is essential because they can provide guidance, reassurance, or adjustments needed for the survey to accurately reflect the population being studied. Addressing issues related to the number of residents upfront is crucial for the integrity of the survey results and helps ensure that the data collected is valid and useful for analysis. It enables timely intervention, allowing for adjustments in methodology or strategy, which can ultimately lead to more reliable outcomes. Other scenarios like delays in survey results, the act of exporting the survey shell, or contacting after the survey's completion are less immediate. They don't necessarily pertain to inherent issues with the survey structure or its target population, thus making them less critical situations for contacting the RAI coordinator at the onset.

**6. In a formal appeal, who can be subjected to cross-examination?**

- A. Only the provider
- B. Only CMS representatives
- C. Both CMS and the provider**
- D. Neither party

In a formal appeal, both the CMS representatives and the provider can be subjected to cross-examination. This aspect of the process ensures that all parties involved have the opportunity to clarify and challenge the evidence presented. Cross-examination is a crucial part of legal and formal proceedings, allowing for a thorough examination of claims and defenses by both sides. In this context, it permits a comprehensive and fair assessment of the issues at hand, contributing to a more transparent and equitable outcome. Engaging both the provider and the CMS representatives allows for a complete dialogue and the assessment of credibility, leading to a well-informed decision-making process.

## 7. What characterizes Medication-induced Parkinsonism?

- A. Highly elevated mood and energy
- B. Symptoms resembling Parkinson's disease**
- C. Episodes of severe anxiety and panic
- D. Fluid retention and swelling

Medication-induced Parkinsonism is characterized by symptoms that resemble those of Parkinson's disease. This condition arises as a side effect of certain medications, particularly antipsychotics and other drugs that affect dopamine pathways in the brain. The key symptoms usually include tremors, rigidity, bradykinesia (slowness of movement), and postural instability, all of which are hallmark features of Parkinson's disease. The reason this choice is correct lies in its clear connection to the physiological effects of the medications involved. These medications can lead to a decrease in dopamine activity, which is crucial for coordinating smooth and controlled movements. Hence, the symptoms that emerge mimic those of Parkinson's disease itself due to the disruption of dopamine transmission. Other options do not align with the characteristics of medication-induced Parkinsonism. Highly elevated mood and energy are indicative of mood disorders or stimulant effects, while episodes of severe anxiety and panic are associated with anxiety disorders rather than movement disorders. Fluid retention and swelling pertain more to cardiovascular or kidney issues and are not typical symptoms associated with Parkinsonism.

## 8. What must a facility ensure regarding the quality of care provided to residents?

- A. Residents receive care in accordance with state-specific regulations
- B. Residents always receive care from a physician
- C. Residents receive care according to professional standards and resident choice**
- D. Facilities can modify care practices based on staff preferences

The correct choice emphasizes that residents should receive care that aligns with established professional standards and incorporates the preferences of the residents themselves. This approach ensures that care is not only medically sound but also respects the individual choices and needs of the residents, which is crucial for their dignity and overall well-being. Providing care according to professional standards guarantees that residents are treated with the appropriate level of expertise and that best practices in health care are followed. Additionally, prioritizing resident choice promotes personalized care, allowing individuals to engage in decisions about their treatment and lifestyle, which significantly enhances their quality of life. While state regulations and physician involvement are important, the quality of care fundamentally hinges on adhering to professional standards and honoring the preferences of the residents. Modifying care practices based on staff preferences does not prioritize resident needs and can detract from the quality of care provided.

**9. If abuse is being investigated, what should you ask for based on a history of abuse?**

- A. Ask the facility for incident reports**
- B. Request a copy of resident care plans**
- C. Ask facility for all allegations of abuse since the last survey**
- D. Inquire about staff training on abuse prevention**

Requesting a copy of all allegations of abuse since the last survey is crucial when investigating claims of abuse. This option allows you to gather comprehensive information about the facility's history and any patterns of behavior that might indicate systemic issues. Understanding previous allegations can help establish whether there is a recurring problem that hasn't been addressed adequately, which is essential for assessing the current situation. By reviewing past incidents, investigators can better understand the context of the current allegations, including the nature of the complaints and how they were resolved. This thorough examination can provide valuable insight into the overall safety and management practices of the facility, informing the next steps in ensuring resident protection and developing safety regulations. The other options, while potentially useful, do not provide the same depth of insight into the facility's history regarding abuse allegations. Incident reports and resident care plans focus on specific events and care strategies, which may not fully capture the broader patterns of abuse. Staff training records might indicate preparedness for preventing abuse but do not reflect whether existing issues have been effectively managed.

**10. What does an Extended Survey further evaluate?**

- A. Financial audits only**
- B. Patient satisfaction only**
- C. Multiple service areas including physician services and infection control**
- D. Staff training and budget allocations**

An Extended Survey is designed to provide a thorough evaluation of various aspects of healthcare services, including but not limited to physician services and infection control. This comprehensive approach allows organizations to assess a wider array of service areas beyond the basics, focusing on ensuring quality and safety in healthcare delivery. By evaluating multiple service areas, the Extended Survey offers insights into how different components of healthcare provision interact and function, which can ultimately lead to improved patient care and operational efficiency. In contrast, the other choices are limited in scope or focus on singular aspects of service evaluation, which does not align with the broader objectives of an Extended Survey.

## Next Steps

**Congratulations on reaching the final section of this guide. You've taken a meaningful step toward passing your certification exam and advancing your career.**

**As you continue preparing, remember that consistent practice, review, and self-reflection are key to success. Make time to revisit difficult topics, simulate exam conditions, and track your progress along the way.**

**If you need help, have suggestions, or want to share feedback, we'd love to hear from you. Reach out to our team at [hello@examzify.com](mailto:hello@examzify.com).**

**Or visit your dedicated course page for more study tools and resources:**

**<https://surveyorminqualificationtest.examzify.com>**

**We wish you the very best on your exam journey. You've got this!**

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