

INTRODUCTION TO THE FUNDAMENTALS OF LAW FOR HEALTH INFORMATION AND INFORMATION MANAGEMENT PRACTICE TEST (SAMPLE) STUDY GUIDE



SAMPLE STUDY GUIDE WITH LIMITED QUESTIONS

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THE FULL VERSION STUDY GUIDE

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Introduction

Preparing for a certification exam can feel overwhelming, but with the right tools, it becomes an opportunity to build confidence, sharpen your skills, and move one step closer to your goals. At Examzify, we believe that effective exam preparation isn't just about memorization, it's about understanding the material, identifying knowledge gaps, and building the test-taking strategies that lead to success.

This guide was designed to help you do exactly that.

Whether you're preparing for a licensing exam, professional certification, or entry-level qualification, this book offers structured practice to reinforce key concepts. You'll find a wide range of multiple-choice questions, each followed by clear explanations to help you understand not just the right answer, but why it's correct.

The content in this guide is based on real-world exam objectives and aligned with the types of questions and topics commonly found on official tests. It's ideal for learners who want to:

- Practice answering questions under realistic conditions,
- Improve accuracy and speed,
- Review explanations to strengthen weak areas, and
- Approach the exam with greater confidence.

We recommend using this book not as a stand-alone study tool, but alongside other resources like flashcards, textbooks, or hands-on training. For best results, we recommend working through each question, reflecting on the explanation provided, and revisiting the topics that challenge you most.

Remember: successful test preparation isn't about getting every question right the first time, it's about learning from your mistakes and improving over time. Stay focused, trust the process, and know that every page you turn brings you closer to success.

Let's begin.

How to Use This Guide

This guide is designed to help you study more effectively and approach your exam with confidence. Whether you're reviewing for the first time or doing a final refresh, here's how to get the most out of your Examzify study guide:

1. Start with a Diagnostic Review

Skim through the questions to get a sense of what you know and what you need to focus on. Your goal is to identify knowledge gaps early.

2. Study in Short, Focused Sessions

Break your study time into manageable blocks (e.g. 30 – 45 minutes). Review a handful of questions, reflect on the explanations.

3. Learn from the Explanations

After answering a question, always read the explanation, even if you got it right. It reinforces key points, corrects misunderstandings, and teaches subtle distinctions between similar answers.

4. Track Your Progress

Use bookmarks or notes (if reading digitally) to mark difficult questions. Revisit these regularly and track improvements over time.

5. Simulate the Real Exam

Once you're comfortable, try taking a full set of questions without pausing. Set a timer and simulate test-day conditions to build confidence and time management skills.

6. Repeat and Review

Don't just study once, repetition builds retention. Re-attempt questions after a few days and revisit explanations to reinforce learning. Pair this guide with other Examzify tools like flashcards, and digital practice tests to strengthen your preparation across formats.

There's no single right way to study, but consistent, thoughtful effort always wins. Use this guide flexibly, adapt the tips above to fit your pace and learning style. You've got this!

Questions

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1. HIPAA and HITECH are two of more than _____ federal laws addressing privacy, confidentiality, and security protections.
 - A. 100
 - B. 50
 - C. 250
 - D. 550
2. How do privacy and security roles align with the principle of least privilege in access control?
 - A. Access is granted to all users to ensure full functionality.
 - B. Access is granted based on job duties; users receive the minimum level of access necessary to perform tasks, using models like RBAC.
 - C. Access is randomly assigned regardless of role.
 - D. Access is only determined by seniority.
3. Who owns the health record?
 - A. Provider who generated the information
 - B. Patient
 - C. No one
 - D. Insurance company who paid for the care recorded in the record
4. Which statement best describes public law?
 - A. Public law
 - B. Private law
 - C. Civil law
 - D. Administrative law
5. What is the purpose of the minimum necessary standard for family disclosures in a hospital setting?
 - A. Share all PHI with family automatically.
 - B. To limit PHI shared with family to what is necessary for patient care and safety while respecting patient preferences.
 - C. Prohibit any PHI disclosure to family.
 - D. Disclose only to non-family caregivers.

6. Which HIPAA rule addresses safeguarding electronic PHI?

- A. Security Rule
- B. Breach Reporting Rule
- C. Privacy Rule
- D. Marketing Rule

7. What role does OCR play in HIPAA compliance enforcement?

- A. OCR investigates complaints, conducts audits, enforces HIPAA rules, and can require corrective action or penalties.
- B. OCR only issues guidance with no enforcement.
- C. OCR handles only business associate agreements.
- D. OCR is not involved in HIPAA enforcement.

8. A compliance program for HIM personnel is designed to do which of the following?

- A. Directs daily clinical operations and patient care delivery.
- B. Develops marketing strategies to expand patient base.
- C. Establishes policies, training, monitoring, and sanctions to ensure privacy and security compliance and reduce risk of violations.
- D. Sets financial targets and reimbursement collection processes.

9. Which term describes an electronic health record that can be shared across multiple healthcare organizations?

- A. Health Information Exchange
- B. Electronic Health Record
- C. Hybrid Health Record
- D. Electronic Medical Record

10. With advances in information technology, at least 93% of information generated today is created using digital technology. Which term completes the sentence?

- A. Velocity and standardization
- B. Volume and duplicity
- C. Variety and redundancy
- D. Visibility and accuracy

Answers

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1. B
2. B
3. A
4. B
5. B
6. A
7. A
8. C
9. B
10. B

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Explanations

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1. HIPAA and HITECH are two of more than _____ federal laws addressing privacy, confidentiality, and security protections.

- A. 100
- B. 50**
- C. 250
- D. 550

A key idea here is that health information privacy and security live within a broad federal legal landscape, and HIPAA and HITECH are important parts of it but not the only ones. There are more than fifty federal laws addressing privacy, confidentiality, and security protections, which means professionals must consider a wide range of requirements that can impact how patient data is collected, stored, shared, and protected. HIPAA provides the standard framework for healthcare privacy and security, while HITECH strengthens certain aspects of HIPAA, but together with other statutes like the Privacy Act and various information security laws, they form a larger mosaic of rules. The figure isn't as high as one hundred, two hundred fifty, or five hundred fifty; the commonly cited range is a bit over fifty, reflecting that many different laws contribute to how health information must be treated.

2. How do privacy and security roles align with the principle of least privilege in access control?

- A. Access is granted to all users to ensure full functionality.
- B. Access is granted based on job duties; users receive the minimum level of access necessary to perform tasks, using models like RBAC.**
- C. Access is randomly assigned regardless of role.
- D. Access is only determined by seniority.

Least privilege is about giving each user only the access they need to perform their tasks. In health information management, privacy and security roles put this into practice by tying permissions to a user's job function. A model like role-based access control assigns a set of permissions to each role, and users gain those permissions based on their assigned role. That means someone who needs to view patient records for care can access those records, while someone whose duties don't require that data is kept out. This approach supports the need-to-know principle, helps keep data exposures small, and makes audits and revocation of access straightforward when duties change. The best answer captures this idea: access is granted based on job duties, with users receiving the minimum level of access necessary to perform tasks, using models like RBAC. Granting access to everyone breaks privacy and security, random assignment ignores role and duties, and granting access by seniority can expose data beyond what a person actually needs. In practice, this keeps sensitive health information protected while still enabling staff to do their jobs.

3. Who owns the health record?

- A. Provider who generated the information**
- B. Patient
- C. No one
- D. Insurance company who paid for the care recorded in the record

Ownership of the health record lies with the entity that creates and maintains it—the provider. The provider is responsible for documenting care, safeguarding the information, and managing its retention and disclosure under legal requirements. The patient has rights to access and obtain copies of the record, but that does not make the patient the owner. The insurer does not own the record, even though they may pay for services. This arrangement ensures accountability and proper management of confidential health information, while still granting patients the right to access what's in their record.

4. Which statement best describes public law?

- A. Public law
- B. Private law**
- C. Civil law
- D. Administrative law

Public law governs the relationship between the state and individuals or organizations, focusing on how government power is exercised and checked. A key part of this area is administrative law, which regulates how government agencies operate: their authority, decision-making processes, rulemaking, licensing, and how people can challenge agency actions in court. This is different from private law, which deals with disputes between private individuals or entities (such as contracts, torts, or property issues) without the state acting as a party. The term civil law can refer to private-law systems in some countries or to a separate civil-law legal tradition in others, but it's not the description of public law. So administrative law best describes public law because it specifically handles government action and governance.

5. What is the purpose of the minimum necessary standard for family disclosures in a hospital setting?

- A. Share all PHI with family automatically.
- B. To limit PHI shared with family to what is necessary for patient care and safety while respecting patient preferences.**
- C. Prohibit any PHI disclosure to family.
- D. Disclose only to non-family caregivers.

The minimum necessary standard focuses on sharing only the amount of Protected Health Information that is needed to accomplish a task, especially when family members are involved in a patient's care. In a hospital, family can play a crucial role in supporting care and safety, but the information shared should be limited to what is necessary to help with the patient's treatment and safety, and it should align with the patient's preferences and consent. So, information like the patient's current condition, treatment plan, medications, allergies, and safety instructions may be shared with family when it's needed to care for the patient, while more sensitive or unrelated details are kept private unless essential for care. This balance preserves patient privacy while enabling appropriate family involvement. Choices that would automatically share all PHI, or prohibit any PHI disclosure to family, would either violate privacy rules or undermine care, and restricting disclosures only to non-family caregivers overlooks legitimate situations where family are involved in the patient's care.

6. Which HIPAA rule addresses safeguarding electronic PHI?

- A. Security Rule**
- B. Breach Reporting Rule
- C. Privacy Rule
- D. Marketing Rule

Safeguarding electronic PHI is addressed by the HIPAA Security Rule. This rule focuses specifically on protecting data in electronic form and requires covered entities and business associates to implement layered safeguards across three areas: administrative safeguards (like risk analyses and security management processes), physical safeguards (protecting facilities and devices), and technical safeguards (access controls, authentication, encryption where appropriate, audit controls, and integrity measures). The aim is to keep electronic PHI confidential, intact, and available when needed. The Privacy Rule covers PHI in any form and governs how information may be used or disclosed, not the technical protections for electronic data. The Breach Notification Rule deals with reporting when unsecured PHI is compromised, and the Marketing Rule governs certain uses of PHI for marketing. Since the focus here is on protecting electronic PHI specifically, the Security Rule is the correct standard.

7. What role does OCR play in HIPAA compliance enforcement?

- A. OCR investigates complaints, conducts audits, enforces HIPAA rules, and can require corrective action or penalties.**
- B. OCR only issues guidance with no enforcement.
- C. OCR handles only business associate agreements.
- D. OCR is not involved in HIPAA enforcement.

OCR is the enforcement arm for HIPAA. It handles complaints from individuals who believe their privacy or security rights were violated and conducts investigations to determine what happened. It also carries out proactive compliance reviews and audits to assess how organizations protect health information, beyond just reacting to complaints. When issues are found, OCR can require corrective action plans, mandate changes to policies and security measures, and it can impose civil penalties or settlements. While OCR does publish guidance to help entities understand requirements, its main role is to enforce HIPAA rules, not merely advise. This enforcement reach covers both covered entities and business associates, making OCR responsible for upholding HIPAA compliance across the broader health information landscape.

8. A compliance program for HIM personnel is designed to do which of the following?

- A. Directs daily clinical operations and patient care delivery.
- B. Develops marketing strategies to expand patient base.
- C. Establishes policies, training, monitoring, and sanctions to ensure privacy and security compliance and reduce risk of violations.**
- D. Sets financial targets and reimbursement collection processes.

Focusing on protecting patient privacy and information security is the core aim. A compliance program for HIM personnel establishes the framework that keeps handling of protected health information within legal and ethical bounds. It does this by setting clear policies and procedures, delivering ongoing training so staff know how to access, use, and safeguard PHI, monitoring activities to detect potential violations or gaps, and applying sanctions or corrective actions when violations occur. This combination helps ensure privacy and security compliance and reduces the risk of breaches or noncompliance, which is essential under HIPAA and related regulations. Why the other options don't fit: directing daily clinical operations and patient care delivery is about clinical management, not compliance with privacy and information security. developing marketing strategies to expand the patient base focuses on growth and outreach rather than safeguarding PHI. setting financial targets and reimbursement collection processes relates to revenue cycle management, not the protection and governance of health information.

9. Which term describes an electronic health record that can be shared across multiple healthcare organizations?

- A. Health Information Exchange
- B. Electronic Health Record**
- C. Hybrid Health Record
- D. Electronic Medical Record

An electronic health record is designed to be shared across different healthcare organizations, supporting interoperability and coordinated care. It brings together information from multiple sources so providers in different settings can access a patient's complete history. An electronic medical record, by contrast, is typically the digital version of a patient's chart kept within a single organization. Health information exchange describes the network and standards that enable data sharing, not the record type itself. A hybrid health record isn't a standard term in this context. So the term that best describes a record that can be shared across organizations is electronic health record.

10. With advances in information technology, at least 93% of information generated today is created using digital technology. Which term completes the sentence?

A. Velocity and standardization

B. Volume and duplicity

C. Variety and redundancy

D. Visibility and accuracy

This item focuses on big data in the digital age—the idea that the vast amount of information produced is closely tied to copies of data across systems. The term that fits best is volume, highlighting the enormous scale of data generated because digital tools make creation, capture, and storage so easy. It's paired with duplicity to acknowledge that many copies of data exist—through backups, data sharing, and system integrations—leading to duplicate records. In health information management, this means you're dealing with huge data volumes and the need to identify and manage duplicates to maintain data quality and accuracy. The other terms don't fit as well: velocity speaks to how fast data moves, variety to the different types of data, and visibility and accuracy to accessibility and truth of data rather than how much data is produced. Duplicity specifically points to duplicates, which is why volume and duplicity best complete the idea.

Next Steps

Congratulations on reaching the final section of this guide. You've taken a meaningful step toward passing your certification exam and advancing your career.

As you continue preparing, remember that consistent practice, review, and self-reflection are key to success. Make time to revisit difficult topics, simulate exam conditions, and track your progress along the way.

If you need help, have suggestions, or want to share feedback, we'd love to hear from you. Reach out to our team at hello@examzify.com.

Or visit your dedicated course page for more study tools and resources:

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We wish you the very best on your exam journey. You've got this!

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