

CITI Human Subjects Research Certification Practice Test (Sample)

Study Guide



Everything you need from our exam experts!

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Introduction

Preparing for a certification exam can feel overwhelming, but with the right tools, it becomes an opportunity to build confidence, sharpen your skills, and move one step closer to your goals. At Examzify, we believe that effective exam preparation isn't just about memorization, it's about understanding the material, identifying knowledge gaps, and building the test-taking strategies that lead to success.

This guide was designed to help you do exactly that.

Whether you're preparing for a licensing exam, professional certification, or entry-level qualification, this book offers structured practice to reinforce key concepts. You'll find a wide range of multiple-choice questions, each followed by clear explanations to help you understand not just the right answer, but why it's correct.

The content in this guide is based on real-world exam objectives and aligned with the types of questions and topics commonly found on official tests. It's ideal for learners who want to:

- Practice answering questions under realistic conditions,
- Improve accuracy and speed,
- Review explanations to strengthen weak areas, and
- Approach the exam with greater confidence.

We recommend using this book not as a stand-alone study tool, but alongside other resources like flashcards, textbooks, or hands-on training. For best results, we recommend working through each question, reflecting on the explanation provided, and revisiting the topics that challenge you most.

Remember: successful test preparation isn't about getting every question right the first time, it's about learning from your mistakes and improving over time. Stay focused, trust the process, and know that every page you turn brings you closer to success.

Let's begin.

How to Use This Guide

This guide is designed to help you study more effectively and approach your exam with confidence. Whether you're reviewing for the first time or doing a final refresh, here's how to get the most out of your Examzify study guide:

1. Start with a Diagnostic Review

Skim through the questions to get a sense of what you know and what you need to focus on. Your goal is to identify knowledge gaps early.

2. Study in Short, Focused Sessions

Break your study time into manageable blocks (e.g. 30 - 45 minutes). Review a handful of questions, reflect on the explanations.

3. Learn from the Explanations

After answering a question, always read the explanation, even if you got it right. It reinforces key points, corrects misunderstandings, and teaches subtle distinctions between similar answers.

4. Track Your Progress

Use bookmarks or notes (if reading digitally) to mark difficult questions. Revisit these regularly and track improvements over time.

5. Simulate the Real Exam

Once you're comfortable, try taking a full set of questions without pausing. Set a timer and simulate test-day conditions to build confidence and time management skills.

6. Repeat and Review

Don't just study once, repetition builds retention. Re-attempt questions after a few days and revisit explanations to reinforce learning. Pair this guide with other Examzify tools like flashcards, and digital practice tests to strengthen your preparation across formats.

There's no single right way to study, but consistent, thoughtful effort always wins. Use this guide flexibly, adapt the tips above to fit your pace and learning style. You've got this!

Questions

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- 1. Which ethical principle outlined in the Belmont Report emphasizes the need to maximize benefits and minimize harm?**
 - A. Respect for persons**
 - B. Justice**
 - C. Beneficence**
 - D. Integrity**
- 2. Which vulnerable population receives additional protections under HHS regulations besides pregnant women and neonates?**
 - A. College students**
 - B. Prisoners**
 - C. Adults with decisional impairments**
 - D. The elderly**
- 3. In a focus group discussing sensitive topics, which statement about confidentiality is true?**
 - A. If group participants sign confidentiality agreements, the researcher can guarantee confidentiality**
 - B. The researcher cannot control what participants repeat about others outside the group**
 - C. Confidentiality is not an issue if group members know each other**
 - D. Using pseudonyms in reports removes confidentiality concerns**
- 4. What are 'ethics consultations' designed to do in the context of research?**
 - A. Provide funding for research projects**
 - B. Offer guidance for ethical dilemmas faced by researchers**
 - C. Assist participants in understanding consent forms**
 - D. Evaluate the effectiveness of research outcomes**

5. What does "confidentiality" mean in human subjects research?

- A. The commitment to publish all participant data**
- B. The commitment to protect personal information and prevent unauthorized access to participants' identities**
- C. The requirement for participants to disclose personal information**
- D. The policy of sharing participant identities with other organizations**

6. In which situation is deception permitted in research studies?

- A. When it will not cause harm and participants are debriefed**
- B. When participants are unaware of study risks**
- C. When ethical approval is obtained later**
- D. When deception improves recruitment numbers**

7. Why is assent significant in research involving minors?

- A. It acts as a substitute for informed consent**
- B. It indicates minors' willingness to participate**
- C. It allows researchers to avoid getting consent**
- D. It is not necessary if parents consent**

8. How should researchers handle potentially harmful information related to workers in a study?

- A. Share information with the employer under all circumstances**
- B. Disclose harmful findings to the participants promptly**
- C. Ensure findings are kept confidential per established safeguards**
- D. Ignore any harmful findings if potential benefits outweigh them**

9. How is an unanticipated problem defined according to the criteria established by OHRP?

- A. Results from expected outcomes**
- B. Must involve no harm to subjects**
- C. Unexpected and possibly related to research causing greater risks to subjects**
- D. Clarified by the consent forms provided**

10. Why is it crucial for researchers to secure sensitive information?

- A. To facilitate data sharing with the public**
- B. To comply with ethical standards and protect participant privacy**
- C. To enhance the research outcomes**
- D. To ensure rapid data processing**

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Answers

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1. C
2. B
3. B
4. B
5. B
6. A
7. B
8. C
9. C
10. B

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Explanations

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1. Which ethical principle outlined in the Belmont Report emphasizes the need to maximize benefits and minimize harm?

- A. Respect for persons**
- B. Justice**
- C. Beneficence**
- D. Integrity**

The principle that emphasizes the need to maximize benefits and minimize harm is beneficence. This ethical principle recognizes the responsibility of researchers to not only consider the potential positive outcomes of their studies but also to take action to prevent or minimize any potential risks and harm to participants. Beneficence requires that research be designed and conducted in ways that enhance the well-being of participants, ensuring that the benefits of research outweigh any potential risks or adverse effects. In contrast, respect for persons focuses on acknowledging participants' autonomy and their right to make informed decisions about their involvement in research. Justice deals with the fair distribution of the benefits and burdens of research among different populations, ensuring that no specific group is unfairly targeted or excluded. Integrity relates to the ethical conduct of research and adherence to moral standards but does not specifically address the balance of benefits and harms.

2. Which vulnerable population receives additional protections under HHS regulations besides pregnant women and neonates?

- A. College students**
- B. Prisoners**
- C. Adults with decisional impairments**
- D. The elderly**

Prisoners are recognized as a vulnerable population that requires additional protections due to their unique circumstances, particularly their limited autonomy and the potential for coercion in research settings. The Department of Health and Human Services (HHS) regulations, specifically under 45 CFR 46 Subpart C, establish special provisions aimed at safeguarding the rights and welfare of prisoners involved in research. This is in response to the ethical concerns regarding the selection of prisoners for research, especially in studies that may pose risks to their health or personal freedoms. These regulations seek to ensure that prisoners are not unjustly exploited for research purposes and that their participation is purely voluntary, informed, and not influenced by their incarceration status. Consequently, any research involving prisoners must undergo additional scrutiny to provide adequate protections, ensuring that their rights and mental well-being are prioritized. In contrast, while college students, adults with decisional impairments, and the elderly can be considered vulnerable populations in certain contexts, they do not receive the same specific regulatory protections that are outlined for pregnant women, neonates, and prisoners under HHS regulations.

3. In a focus group discussing sensitive topics, which statement about confidentiality is true?

- A. If group participants sign confidentiality agreements, the researcher can guarantee confidentiality
- B. The researcher cannot control what participants repeat about others outside the group**
- C. Confidentiality is not an issue if group members know each other
- D. Using pseudonyms in reports removes confidentiality concerns

In a focus group setting, particularly when discussing sensitive topics, it is important to recognize the limitations of confidentiality. The statement pointing out that the researcher cannot control what participants repeat about others outside the group accurately captures a fundamental challenge in maintaining confidentiality in such settings. While participants may agree to keep discussions private, once they leave the focus group, the researcher has no means of enforcing that confidentiality. Participants might discuss their experiences or share insights from the group with individuals not in the session, potentially compromising the confidentiality of other participants. Understanding this aspect is vital for researchers when designing studies that involve sensitive information, as it underscores the importance of informing participants about the risks associated with sharing sensitive information in a group context. It also highlights the necessity for the researcher to establish a clear understanding of confidentiality limits before conducting the focus group.

4. What are 'ethics consultations' designed to do in the context of research?

- A. Provide funding for research projects
- B. Offer guidance for ethical dilemmas faced by researchers**
- C. Assist participants in understanding consent forms
- D. Evaluate the effectiveness of research outcomes

Ethics consultations are designed to offer guidance for ethical dilemmas faced by researchers. In the context of research, ethical challenges can arise in various forms, such as issues related to informed consent, confidentiality, the welfare of participants, and the overall integrity of the research process. These consultations typically involve discussions with ethics committees, institutional review boards, or experts in research ethics who can provide recommendations and support to researchers dealing with complex ethical situations. The primary goal of these consultations is to ensure that the research is conducted in a way that respects and protects the rights and well-being of human subjects while also adhering to ethical standards and regulations. Researchers often face situations that may not have clear answers, and ethics consultations serve as a valuable resource to navigate these challenges effectively and responsibly. The other options, while related to the research process, do not align with the primary purpose of ethics consultations. For instance, providing funding for research projects or evaluating the effectiveness of research outcomes does not address the ethical considerations researchers might encounter. Assisting participants in understanding consent forms, while important, is more about participant education than guiding researchers through ethical dilemmas. Therefore, the focus of ethics consultations on ethical guidance is what makes it the correct answer.

5. What does "confidentiality" mean in human subjects research?

- A. The commitment to publish all participant data
- B. The commitment to protect personal information and prevent unauthorized access to participants' identities**
- C. The requirement for participants to disclose personal information
- D. The policy of sharing participant identities with other organizations

In the context of human subjects research, confidentiality refers to the obligation of researchers to protect the personal information of participants. This involves implementing measures to ensure that data collected during the research does not allow for the identification of individuals without their consent. Researchers are required to handle sensitive information with care, ensuring that unauthorized individuals cannot access participants' identities or their private data. Maintaining confidentiality is vital not only for protecting the privacy of research subjects but also for fostering trust between participants and researchers. When participants believe that their information will remain confidential, they are more likely to share truthful and complete data, which can lead to more accurate research outcomes. The other options do not accurately represent the concept of confidentiality in research. Publishing all participant data would contradict the idea of safeguarding personal information. Requiring participants to disclose personal information would not ensure their confidentiality if that information were to be misused. Lastly, sharing participant identities with other organizations directly violates the ethical commitment to confidentiality as it exposes individuals to potential harm and breaches their privacy.

6. In which situation is deception permitted in research studies?

- A. When it will not cause harm and participants are debriefed**
- B. When participants are unaware of study risks
- C. When ethical approval is obtained later
- D. When deception improves recruitment numbers

Deception in research studies can be ethically permissible under certain conditions, particularly when it is justified by the necessity of the research and the protection of participants. One of the primary criteria for allowing deception is that it does not cause harm to the participants involved in the study. If deception is employed, the researcher must ensure that it is not likely to cause distress or negative consequences. Additionally, after the completion of the study, it is essential for researchers to debrief participants. Debriefing allows researchers to fully inform participants about the true nature of the study, the reasons for the deception, and any risks involved. This process is vital for maintaining trust and transparency between researchers and participants, helping individuals to understand their involvement and the research's broader purpose. Other scenarios presented, such as participants being unaware of study risks or obtaining ethical approval after the fact, do not align with ethical research practices. Additionally, using deception merely to improve recruitment numbers raises significant ethical concerns, as it prioritizes enrollment over participant well-being and informed consent. Thus, the context allows for the use of deception as long as it is handled thoughtfully and ethically.

7. Why is assent significant in research involving minors?

- A. It acts as a substitute for informed consent
- B. It indicates minors' willingness to participate**
- C. It allows researchers to avoid getting consent
- D. It is not necessary if parents consent

Assent is significant in research involving minors primarily because it indicates the minors' willingness to participate in the study. This concept is rooted in recognizing that children have their own perspectives and can express their willingness or reluctance to be involved in research, even if they do not have the legal capacity to provide informed consent on their own. Obtaining assent respects the minor's autonomy and promotes ethical research practices, as it involves engaging them in the process and ensuring that they understand, at an age-appropriate level, what their participation entails. It is not merely a formality but rather a meaningful engagement that helps researchers to gauge the child's interest and comfort level with the study. In contrast, the other options misrepresent the role and purpose of assent. It does not serve as a substitute for informed consent, as informed consent needs to be obtained from a parent or guardian. While parental consent is critical, it does not eliminate the importance of seeking assent from the minor, ensuring their voice is heard in the process. Therefore, it is crucial that researchers include assent as part of their ethical obligations.

8. How should researchers handle potentially harmful information related to workers in a study?

- A. Share information with the employer under all circumstances
- B. Disclose harmful findings to the participants promptly
- C. Ensure findings are kept confidential per established safeguards**
- D. Ignore any harmful findings if potential benefits outweigh them

The appropriate approach for researchers handling potentially harmful information related to workers in a study is to ensure that findings are kept confidential per established safeguards. This aligns with ethical guidelines that prioritize the protection of participants' privacy and well-being. Researchers must follow protocols for confidentiality to facilitate trust and protect individuals from any negative consequences that might arise from the disclosure of sensitive information. Maintaining confidentiality allows researchers to gather data without compromising participants' rights or exposing them to potential harm. Although there may be instances where it is necessary to disclose harmful information to ensure the safety of participants or others, this must be handled carefully and typically, only in adherence to legal or ethical obligations. The other options involve approaches that could breach ethical standards or confidentiality agreements. Sharing information with the employer under all circumstances could lead to breaches of trust and harm participants' job security. Disclosing harmful findings to participants promptly does not always consider the potential repercussions on their employment or personal lives. Ignoring harmful findings if potential benefits outweigh them undermines ethical principles by prioritizing research outcomes over participants' safety and access to information that could impact their well-being. Thus, ensuring confidentiality is the most ethical and responsible course of action in managing potentially harmful information.

9. How is an unanticipated problem defined according to the criteria established by OHRP?

- A. Results from expected outcomes
- B. Must involve no harm to subjects
- C. Unexpected and possibly related to research causing greater risks to subjects**
- D. Clarified by the consent forms provided

An unanticipated problem is defined as an incident that is unexpected and may be related to the research being conducted, which poses greater risks to the subjects involved than those originally identified in the study protocol. This definition is significant because it acknowledges that the risks of research may evolve as new information or events arise during the study. When researchers or IRBs (Institutional Review Boards) evaluate the occurrence of such problems, they must consider whether the incident could reasonably have been anticipated based on the current understanding of the study and its potential impacts on participants. The emphasis on both the unexpected nature and the potential relationship to the study is crucial, as it highlights the need for ongoing risk assessment and monitoring. This allows researchers to protect participants more effectively and to make any necessary adjustments to the study protocols or consent processes in light of new information. Understanding this concept is vital for researchers to maintain ethical standards and ensure the safety and well-being of participants throughout the research process.

10. Why is it crucial for researchers to secure sensitive information?

- A. To facilitate data sharing with the public
- B. To comply with ethical standards and protect participant privacy**
- C. To enhance the research outcomes
- D. To ensure rapid data processing

Securing sensitive information is crucial for researchers primarily to comply with ethical standards and protect participant privacy. When conducting research involving human subjects, researchers have a responsibility to safeguard the personal and confidential data of participants. This duty is rooted in ethical principles such as respect for persons, beneficence, and justice, which emphasize the importance of protecting individuals' rights and welfare. Failure to secure sensitive information can lead to breaches of confidentiality, potentially causing harm to participants if their data is misused or publicly disclosed. Such harm could manifest in various ways, including emotional distress, reputational damage, or even discrimination. Ethical guidelines and legal regulations, such as the Health Insurance Portability and Accountability Act (HIPAA) and the Common Rule, reinforce the necessity of maintaining participant confidentiality and establishing protocols for data protection. The other options do not capture the primary focus on ethical compliance and participant safety. Facilitating data sharing with the public, enhancing research outcomes, and ensuring rapid data processing do not prioritize the well-being and privacy of research subjects effectively. These aspects might be considered important in certain contexts, but they do not address the fundamental ethical obligation researchers have to protect sensitive information related to individuals participating in their studies.

Next Steps

Congratulations on reaching the final section of this guide. You've taken a meaningful step toward passing your certification exam and advancing your career.

As you continue preparing, remember that consistent practice, review, and self-reflection are key to success. Make time to revisit difficult topics, simulate exam conditions, and track your progress along the way.

If you need help, have suggestions, or want to share feedback, we'd love to hear from you. Reach out to our team at hello@examzify.com.

Or visit your dedicated course page for more study tools and resources:

<https://citihumansubjectsresearch.examzify.com>

We wish you the very best on your exam journey. You've got this!

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